

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date  
29 July 2004 (29.07.2004)

PCT

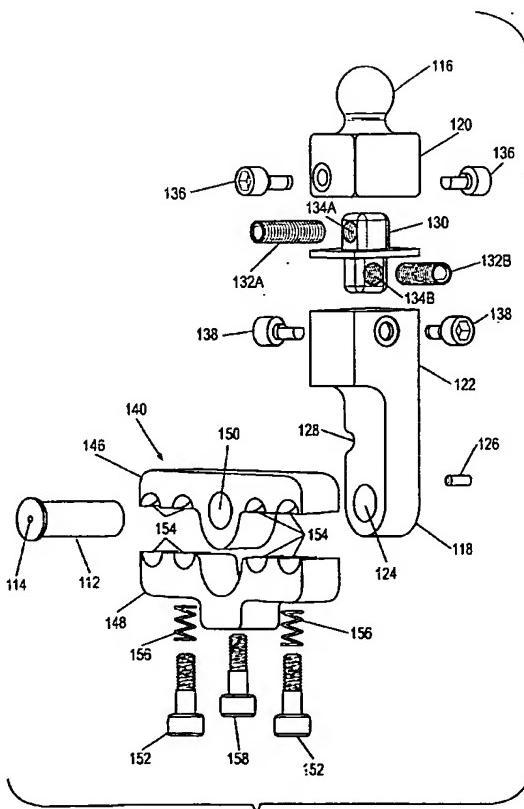
(10) International Publication Number  
**WO 2004/062514 A1**

- (51) International Patent Classification<sup>7</sup>: **A61B 17/64**
- (21) International Application Number:  
**PCT/US2003/039307**
- (22) International Filing Date:  
10 December 2003 (10.12.2003)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
60/439,195 10 January 2003 (10.01.2003) US  
10/607,010 26 June 2003 (26.06.2003) US
- (71) Applicant: SMITH & NEPHEW, INC. [US/US]; 1450 Brooks Road, Memphis, TN 38116 (US).
- (72) Inventors: AUSTIN, Ed.; 8015 Steven Franklin Drive, Bartlett, TN 38133 (US). JAMES, Anthony; 3605 Millie
- Drive, Bartlett, TN 38135 (US). RANKHORN, Wayne; 185 High Street, Rossville, TN 38066 (US). MASON, Johnny; 4914 Marcel Cove, Memphis, TN 38122 (US). RODRIGUEZ, Carlos; P.O. Box 146, Gleason, TN 38229 (US).
- (74) Agent: PRATT, John, S.; Kilpatrick Stockton LLP, Suite 2800, 1100 Peachtree Street, Atlanta, GA 30309-4530 (US).
- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (*regional*): ARIPO patent (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),

[Continued on next page]

(54) Title: EXTERNAL FIXATION APPARATUS

WO 2004/062514 A1



(57) Abstract: Apparatuses for externally fixing and precisely adjusting fractures, such as fractures near the ankle, are disclosed. In one embodiment, an external fixation apparatus includes a first member attachable to a first bone segment through pins, a second member coupled to the first member through a lockable ball joint, wherein first and second ends of the second member may be translated transversely relative to a longitudinal axis of the second member, and a pin clamp coupled to and rotatable about the second member through a lockable joint and attachable to a second bone segment. The pin clamp and the second member may be releasably coupled.



Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),  
European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,  
ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE,  
SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA,  
GN, GQ, GW, ML, MR, NE, SN, TD, TG).

— before the expiration of the time limit for amending the  
claims and to be republished in the event of receipt of  
amendments

**Published:**

— with international search report

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

## EXTERNAL FIXATION APPARATUS

Related Applications

This application claims priority to U.S. Application Serial No. 10/607,010, entitled "External Fixation Apparatus and Method," filed June 26, 2003, which claims the benefit 5 of U.S. Provisional Application Serial No. 60/439,195, entitled "External Fixation Apparatus and Method," filed January 10, 2003, the entire contents of which are hereby incorporated by reference.

Field of the Invention

10 The invention relates generally to apparatuses and methods of orthopedic external fixation, and, more particularly, to apparatuses and methods for externally fixing and precisely adjusting fractures, such as fractures near the ankle.

Background of the Invention

15 External fixation of fractures has been practiced extensively, and there exists a number of external fixation devices designed to fix fractures of the ankle. Generally, these devices attach to the tibia with bone pins, span the fracture, and attach to bones of the foot, the talus and calcaneus, to secure the fracture while it heals. Some of the devices are designed to provide a pivot point in the external fixator adjacent to the natural hinge 20 point of the patient's ankle. It is suggested by some that allowing the external fixator and ankle to pivot at some times during the healing process is advantageous. This view is not held by all, and some of the external fixators are not designed to pivot in this manner, or are designed to be lockable to selectively inhibit any pivoting prior to healing. Some external fixators are also adjustable longitudinally.

However, none of the existing external fixation devices are capable of adjustment and consequent fine adjustment of a fracture reduction lateral or transverse to the longitudinal axis of a fixator once the fixator has been placed on the bone pins and tightened. Therefore, if any transverse fracture reduction adjustment is needed, the fixator 5 must be loosened, the fracture realigned, and the fixator tightened again. Prior devices also fail to give significant flexibility in pin placement and orientation in the foot of a patient. It is important that external fixation devices for the ankle be easier to use, less cumbersome, and more versatile than existing devices, particularly when used in a trauma setting.

10 Accordingly, there is a need for apparatuses and methods optimized to enable convenient placement and locking of an external fixator and apparatuses and methods that provide for fine adjustment of fracture reduction without requiring loosening of the external fixator. It would be additionally advantageous to enable manipulation of the external fixator in greater degrees of freedom in order to more effectively and efficiently 15 place fixation elements such as bone pins. Furthermore, it would be advantageous in certain embodiments to provide for rotatable removal and attachment means for multiple fixator configurations. All of these are particularly important in the context of ankle fixators, even though it is possible for concepts, features, and aspects of the invention, and embodiments of it, to be used in the context of other points in the human body.

### Summary of the Invention

The present invention provides apparatuses and methods for externally fixing and precisely adjusting fractures in or near a joint, such as fractures near the ankle. According to an exemplary embodiment of this invention, an external fixation apparatus includes a first member attachable to a first bone segment through pins, a second member coupled to the first member through a lockable ball joint, wherein first and second ends of the second member may be translated transversely relative to a longitudinal axis of the second member, and a pin clamp coupled to and rotatable about the second member through a lockable joint and attachable to a second bone segment. The first bone segment may be a tibia and the second bone segment may be a talus or a calcaneus. The second member may include a unitary, bifurcated, or other type of stem. The pin clamp may be symmetrical or asymmetrical.

According to certain exemplary embodiments, translation of first and second ends of a second member relative to the longitudinal axis of the second member is possible in at least two dimensions. In one embodiment, the second member includes a carriage that fits within an upper recess and a lower recess of the second member, the carriage including two threaded holes each for receiving a worm gear, and keybolts for operating each worm gear such that the carriage may be moved transversely to the longitudinal axis in one dimension within the upper recess and transversely relative to the longitudinal axis in another dimension within the lower recess.

According to one embodiment of this invention, a lockable joint coupling a second member and a pin clamp includes an axle extending through a hole in a first jaw of the pin clamp and a hole in the second end of the second member, an anti-rotation pin inserted through a portion of the second member and into the axle, and a first bolt that passes

through openings in first and second jaws of the pin clamp such that tightening of the first bolt interferes with the axle and locks rotation of the pin clamp and the second member.

According to another embodiment of this invention, a lockable joint coupling a second member and a pin clamp includes a threaded sleeve fixed to the second member 5 and a core with internal hex driving sockets that is threaded onto the sleeve so that as the core is moved along the sleeve, balls are forced up ramps and into the pin clamp or allowed to move down ramps and away from the pin clamp.

According to another embodiment of this invention, a lockable joint coupling a second member and a pin clamp includes a biasing element and a pushbutton core 10 contacting the biasing element such that when the pushbutton core is depressed the pin clamp may rotate freely.

According to another embodiment of this invention, a lockable joint coupling a second member and a pin clamp includes a shaft extending transversely from the second end of the second member, the shaft including at least one radial groove, a hole within a 15 first jaw of the pin clamp that receives the shaft, a locator pin of the pin clamp that is received within the at least one radial groove to releasably couple the second member and the pin clamp, and a first bolt that passes through openings in the first jaw and a second jaw of the pin clamp such that tightening of the first bolt interferes with the shaft and locks rotation of the pin clamp and the second member.

20 According to certain exemplary embodiments of this invention, a lockable joint coupling a second member and a pin clamp provides for multi-axis rotation between the second member and the pin clamp.

In one embodiment of this invention, a lockable joint coupling a second member and a pin clamp includes a sphere suspended from the second end of the second member

that is received within interior surfaces of first and second jaws of the pin clamp and at least one bolt that passes through openings in at least one of the first and second jaws of the pin clamp such that tightening of the at least one bolt interferes with the sphere and locks rotation of the pin clamp and the second member.

5 In another embodiment of this invention, a lockable joint coupling a second member and a pin clamp includes a sphere attached to the pin clamp and a compression bolt that extends through holes in the sphere of the pin clamp and the second member so that when the bolt is tightened with a lock nut, the second member is compressed against the sphere thereby locking rotation of the second member and the pin clamp.

10 In another embodiment of this invention, a lockable joint coupling a second member and a pin clamp includes a connector that is held within a sphere-shaped tip of the second member by a retaining cap and a biasing element, the connector having a ball end and a threaded end, a cooperating surface of the pin clamp that receives the sphere-shaped tip of the second member and the threaded end of the connector, and a nut that is 15 threaded onto the threaded end of the connector to retain the connector within the pin clamp and such that when the nut is tightened the sphere-shaped tip of the second member and the cooperating surface of the pin clamp are locked against one another.

In another embodiment of this invention, a lockable joint coupling a second member and a pin clamp includes a connector held within a sphere-shaped tip of the pin 20 clamp, a cooperating surface of the second member that receives the sphere-shaped tip of the pin clamp and a shaft end of the connector, and a wedge bolt extending through the second member that is tightened by a wedge nut causing a ramp to force a ball end of the connector to be pulled up forcing the sphere-shaped tip of the pin clamp and the cooperating surface of the second member to lock against one another.

In another embodiment of this invention, a lockable joint coupling a second member and a pin clamp includes two stacked washers attached to a spherical portion of the second member, two stacked washers attached to the pin clamp, wherein the two stacked washers of the pin clamp are alternatingly nested with the two stacked washers of the second member, and a bolt extending from the pin clamp and into the spherical portion of the second member such that all of the washers and the spherical portion are pressed together upon tightening of the bolt, thereby locking rotation of the pin clamp and the second member.

According to certain exemplary embodiments of the present invention, a second member may be biased at its second end from the longitudinal axis of the second member up to approximately sixty degrees.

According to certain exemplary embodiments of this invention, an external fixation apparatus includes a first member attachable to a first bone segment through pins, a second member coupled to the first member through a lockable joint, the second member including a shaft extending transversely from a distal end of the second member with at least one radial groove in the shaft, and a pin clamp attachable to a second bone segment and releasably coupled to and rotatable about the second member. The pin clamp may include a first jaw and a second jaw, the first jaw including a hole that receives the shaft, a locator pin that is received within the at least one radial groove of the shaft to releasably couple the second member and the pin clamp, and a first bolt that passes through openings in the first and second jaws such that tightening of the first bolt interferes with the shaft and locks rotation of the pin clamp and the second member. The locator pin may be configured to allow for pull release or pushbutton release of the second member from the pin clamp. The pin clamp may include second and third bolts that hold

the first and second jaws together and attach and clamp pins or wires to the second bone segment, and the first and second jaws may include openings that receive biasing elements and threaded ends of the second and third bolts.

According to certain exemplary embodiments of this invention, an external fixation system for attaching pins or wires to at least one bone segment includes an external fixation device and a pin clamp. The pin clamp may include a first jaw and a second jaw, biasing elements received within openings in the first and second jaws, first and second bolts that extend through the openings in the first and second jaws, compressing the biasing elements and holding the first and second jaws together, a hole in the first jaw that receives a shaft of an external fixation device, the shaft having at least one radial groove, a locator pin that is received within the at least one radial groove of the shaft to releasably couple the external fixation device and the pin clamp, and a third bolt that passes through openings in the first and second jaws such that sufficient tightening of the third bolt interferes with the shaft and locks rotation of the pin clamp and the external fixation device. In one embodiment, the external fixation device is a handle such that the pin clamp and handle coupled thereto may be used as a drill guide. In another embodiment, the external fixation device is a fixation component that includes a capture member that receives a pin, bar, or wire. In another embodiment, the external fixation device is a second member with first and second ends that may be translated transversely in at least two dimensions relative to a longitudinal axis of the second member.

Certain exemplary embodiments of this invention also include methods of reducing a fracture.

According to the present invention, there is provided an external fixation apparatus for use with a first bone segment that is a tibia and a second bone segment that is a talus,

calcaneus, or both, characterized in that the external fixation apparatus comprises a first member attachable to the first bone segment through pins; a second member coupled to the first member through a lockable ball joint, wherein first and second ends of the second member may be translated transversely relative to a longitudinal axis of the second member; and a pin clamp coupled to and rotatable about the second member through a lockable joint and attachable to the second bone segment.

According to the present invention, there is also provided an external fixation system for attaching pins or wires to at least one bone segment, characterized in that the system comprises an external fixation device and a pin clamp comprising: a first jaw and a second jaw; biasing elements received within openings in the first and second jaws; first and second bolts that extend through the openings in the first and second jaws, compressing the biasing elements and holding the first and second jaws together; a hole in the first jaw that receives a shaft of an external fixation device, the shaft having at least one radial groove; a locator pin that is received within the at least one radial groove of the shaft to releasably couple the external fixation device and the pin clamp; and a third bolt that passes through openings in the first and second jaws such that sufficient tightening of the third bolt interferes with the shaft and locks rotation of the pin clamp and the external fixation device.

20

#### Brief Description of the Drawings

Figure 1 is a perspective view of an embodiment of an apparatus according to the present invention in use to support the ankle of a patient.

Figure 2 shows the alignment of an embodiment of a pin clamp according to the present invention over the ankle of a patient.

Figure 3 is an exploded perspective view of components of an embodiment of an apparatus according to the present invention.

Figure 4 is a cross-sectional elevation view of an embodiment of a coupling between a second member and a pin clamp according to this invention.

5       Figure 5 is a cross-sectional elevation view of another embodiment of a coupling between a second member and a pin clamp according to this invention.

Figure 6 is a cross-sectional elevation view of another embodiment of a coupling between a second member and a pin clamp according to this invention.

10     Figures 7A and 7B are elevation views of another embodiment of a coupling between a second member and a pin clamp according to this invention.

Figures 8A and 8B are elevation views of another embodiment of a coupling between a second member and a pin clamp according to this invention.

Figure 9 is a cross-sectional elevation view of another embodiment of a coupling between a second member and a pin clamp according to this invention.

15     Figure 10 is a cross-sectional elevation view of another embodiment of a coupling between a second member and a pin clamp according to this invention.

Figure 11 is a cross-sectional elevation view of another embodiment of a coupling between a second member and a pin clamp according to this invention.

20     Figure 12 is a perspective view of an embodiment of a pin clamp according to the present invention.

Figure 13 is a perspective view of an embodiment of a second member according to the present invention configured to create an angular bias from normal between the longitudinal axis of the second member and a pin clamp.

Figure 14 is a perspective view of an embodiment of an apparatus according to the present invention configured to create an angular bias from normal between the longitudinal axis of the external fixation apparatus and a pin clamp of the apparatus.

Figure 15 is a perspective view of an embodiment of a pin clamp according to the 5 present invention over the ankle of a patient with two drill sleeves inserted in the pin clamp.

Figure 16 is an elevation view of an embodiment of an external fixation apparatus according to the present invention including the pin clamp of Figure 15 and a second member.

10 Figure 17 is an exploded, cross-sectional elevation view of the embodiment of the external fixation apparatus shown in Figure 16.

Figure 18 is an exploded elevation view of the pin clamp shown in Figures 15-17.

Figure 19 is an elevation view of another embodiment of a pin clamp with a locator pin according to the present invention.

15 Figure 20 is an elevation view of an embodiment of a handle assembly for use with an embodiment of a pin clamp according to the present invention.

Figure 21 is an elevation view of an embodiment of a fixation component for use with an embodiment of a pin clamp according to this invention.

20

#### Detailed Description of the Invention

Figure 1 shows an external fixation apparatus 100 placed on the lower leg and ankle of a human. External fixation apparatus 100 includes a first member 102 that is attached to a tibia through upper bone pins 104 and 106. As shown in Figure 1, first member 102 includes upper and lower components that cooperate to provide for

additional adjustments, but first member 102 may be a single component. A second member 110, sometimes referred to in practice as a pivot arm or a stem, is coupled to first member 102 through a lockable joint, preferably a ball joint. A pin clamp 140, sometimes referred to in practice as a pin block, is coupled to second member 110 and to a second bone segment through lower bone pins 142 and 144. A first lower bone pin 142 may be attached to the talus and a second lower bone pin 144 may be attached to the calcaneus, as shown more clearly in Figure 2. The talus and calcaneus are both bones of the foot and semi-rigidly fixed to one another through tissues of the foot, and therefore may be considered as a unitary bone segment for the purpose of the invention and referred to as a bone segment herein. Similarly, other combinations of the skeletal structure may be considered a bone segment for purposes of the invention. Although pin clamp 140 and bone pins 102, 104, 142, and 144 are designated as "pin" or "pins," other devices capable of fixing to skeletal structures, such as, but not limited to, wires, are within the scope of fixation systems and devices available for use with certain exemplary embodiments of the present invention.

Figure 2 illustrates the typical placement of pin clamp 140 on the anatomy of a patient. As noted above, first lower bone pin 142 is attached to the talus and second lower bone pin 144 is attached to the calcaneus. As shown in Figure 2, pin clamp 140 is symmetrical. However, pin clamp 140 may be asymmetrical, such as, for example, pin clamp 340 shown in Figure 15. Pin clamp 140 may be pivoted about the second member 110, as further described below, and used interchangeably from either the left or the right side of the leg of a patient. As shown in Figure 2, first lower bone pin 142 is closer to an axle 112 that goes through the center of pin clamp 140 than is second lower bone pin 144.

Figure 3 is an exploded perspective view of components of an embodiment of an external fixation apparatus according to the present invention, including second member 110 and pin clamp 140. Opposite ends 116 and 118 of second member 110 may be translated transversely relative to the longitudinal axis of the second member through use 5 of a carriage 130. Carriage 130 fits within recesses in an upper portion 120 and a lower portion 122 of second member 110. The recesses in portions 120 and 122 of second member 110 are larger than the parts of carriage 130 that fit within the recesses. Therefore, carriage 130 is allowed to move within the recesses laterally along the longitudinal axis of second member 110. A worm gear 132A engages a threaded hole 10 134A in the upper portion of carriage 130. The length of worm gear 132A fits within the recess in upper portion 120 of second member 110. A keybolt or keybolts 136 may be mated to either or both ends of worm gear 132A to enable worm gear 132A to be operated with an internal hex driver. Operation of worm gear 132A moves carriage 130 laterally between the extents to which carriage 130 is allowed to move within the recess in upper 15 portion 120 of second member 110. More specifically, turning of worm gear 132A within hole 134A will move end 118 either medially or laterally relative to the longitudinal axis of second member 110 and end 116, depending on the direction in which worm gear 132A is turned. Similarly, Figure 3 shows another worm gear 132B engaged with another threaded hole 134B in the lower portion of carriage 130 such that keybolts 138 may be 20 operated with an internal hex driver. Turning of worm gear 132B within hole 134B will move end 116 either posterior or anterior relative to the longitudinal axis of second member 110 and end 118, depending on the direction in which worm gear 132B is turned.

In certain other exemplary embodiments of the transverse movement feature, finely controlled movement, such as with the two worm gears, may or may not be

incorporated. For example, in some embodiments opposite ends of second member 110 would be moveable as a result of a releasable connection within second member 110. The releasable connection could be unlocked, the transverse movement accomplished, and then the connection locked to hold the desired movement. Locking could be  
5 accomplished through use of a setscrew. Other apparatuses that would provide finely controlled movement may be used as well. A single worm gear could be used to provide fine adjustment only in the most advantageous dimension. Automated adjustments using mechanical, electrical, thermal, and/or computer-controlled signals or actuators could also be employed. Any apparatus providing for adjustment substantially transverse to the  
10 longitudinal axis of the second member 110 is contemplated by certain exemplary embodiments of this invention.

Returning to Figure 3, pin clamp 140 is coupled to second member 110 through axle 112. Pin clamp 140 includes a first jaw 146 and a second jaw 148. First jaw 146 has a hole 150 through which axle 112 is inserted, and pin clamp 140 is able to rotate about  
15 axle 112. Axle 112 is coupled to second member 110 through a hole 124 in second member 110. Axle 112 may be fixed to second member 110 by inserting an anti-rotation pin 126 through a portion of second member 110 and into axle 112. Alternatively, axle 112 could be fixed to second member 110 with an adhesive, by welding, by compression fit, by being formed integrally with second member 110, or by any other method adequate  
20 to form a structurally sufficient connection. Axle 112 may also include an alignment hole 114 through which a pin or wire may be placed into the bone. Such alignment may be useful to approximate a desired center of rotation of pin clamp 140. For example, a wire may be placed through alignment hole 114 and into the talus to approximate the pivot axis of a patient's ankle. Second member 110 may also include a notch 128 to provide for

additional rotational freedom of pin clamp 140. Notch 128 effectively gives pin clamp 140 a greater range of motion without significantly reducing the strength of second member 110.

First jaw 146 and the second jaw 148 are held together by clamp bolts 152. Clamp 5 bolts 152 may serve to both hold the jaws together, and to attach and clamp bone pins 142 and 144 to a bone segment (see Figure 1). Bone pins may be clamped in any of the four holes defined by the eight depressions 154, as shown in Figure 3. Clamp bolts 152 may be urged by biasing elements, such as springs 156, such that first jaw 146 and second jaw 148 tend to push together to stay loosely secured to bone pins prior to tightening clamp 10 bolts 152. A lock bolt 158 may be provided to lock the rotation between second member 110 and pin clamp 140. Lock bolt 158 passes through holes in second jaw 148 and first jaw 146 such that by sufficiently tightening lock bolt 158, the distal end of the bolt interferes with axle 112 and locks rotation between second member 110 and pin clamp 140. As shown in Fig. 3, the threaded hole with which lock bolt 158 engages is in first 15 jaw 146.

Certain additional exemplary embodiments of coupling between a second member and a pin clamp according to the present invention are shown in Figures 4-11. Figures 4-20 6 illustrate configurations useful in locking single axis rotation between a second member and a pin clamp, while Figures 7-11 show locking of multi-axis rotation between a second member and a pin clamp. The apparatuses of Figures 2, 4, 7, and 9-11 illustrate a second member with a unitary stem to which the pin clamp attaches. The apparatuses of Figures 5, 6, and 8 show a second member with a bifurcated stem to which the pin clamp attaches (i.e., two separate appendages of the stem extend to and connect with the pin clamp). As understood by those skilled in the art, the locking mechanisms of the two types of stems

may be interchangeable, and merely because a locking mechanism of one type is shown with a stem of a particular type, that does not preclude use with a stem of another type.

Figure 4 is a cross-sectional elevation view of an embodiment of a coupling between a second member and a pin clamp according to this invention. Figure 4 shows a 5 single-ramp locking axle 160 useful in locking the coupling between second member 110 and pin clamp 140. Single-ramp locking axle 160 may include an alignment hole 162 for aiding with the placement of the apparatus as described above. Single-ramp locking axle 160 has a threaded sleeve 164 and a core 166 with internal hex driving sockets 168. Core 166 is threaded into sleeve 164, and sleeve 164 is fixed to second member 110. By 10 turning core 166, the core moves along sleeve 164, and balls 170 are forced up or allowed to move down ramps 172 and into or away from pin clamp 140. Balls 170 may therefore be used to lock and unlock movement between second member 110 and pin block 140. Balls 170 and surfaces of pin clamp 140 and ramps 172 may be coated or manufactured such that higher coefficients of friction are generated to enhance the locking. Ramps 172 15 may be discrete ramps holding a single ball 170, or may be substantially continuous about the exterior of core 166. For example, the surface of core 166 may be a conical shape providing for ramps all around the core.

Figure 5 is a cross-sectional elevation view of another embodiment of a coupling between a second member and a pin clamp according to this invention. Figure 5 20 illustrates a double-ramp locking axle 180 that is similar in function to single-ramp locking axle 160 shown in Figure 4, but may be tightened by advancing a core 186 in either direction relative to a threaded sleeve 184. Alignment hole 182, internal hex driving sockets 188, balls 190, and ramps 192 are also included. Double-ramp locking axle 180 is shown in use with a bifurcated second member 110A and pin clamp 140.

Figure 6 is a cross-sectional elevation view of another embodiment of a coupling between a second member and a pin clamp according to this invention. A pushbutton locking axle 200 is shown in Figure 6. Pushbutton locking axle 200 includes an alignment hole 202. A biasing element 204 is used to keep pushbutton locking axle 200 in a locked configuration unless a pushbutton core 206 is pushed toward biasing element 204. Pushbutton locking axle 200 is shown in use with a bifurcated second member 110A and pin clamp 140.

Figures 7-11 illustrate configurations useful in locking multi-axis rotation between a second member and a pin clamp according to this invention. Multi-axis rotation is sometimes useful in giving a user of certain exemplary embodiments of an external fixation apparatus according to this invention flexibility in placement of bone pins or other bone connection devices. Multi-axis rotation may also be useful to adjust fracture reduction. All sphere, ball, spacer, and plate surfaces, and the surfaces that mate therewith may be coated or manufactured such that higher coefficients of friction are generated to enhance the locking described in conjunction with Figures 7-11.

Figures 7A and 7B are elevation views of another embodiment of a coupling between a second member and a pin clamp according to this invention. Figures 7A and 7B show a sphere 210 suspended from a unitary second member 110B. A pin clamp 140B has interior surfaces that fit around sphere 210 and press against sphere 210 when bolts 212 are tightened. The tightening of bolts 212 therefore may be used to lock pin clamp 140B to both lower bone pins 142 and 144 and sphere 210. Additionally, a sphere locking bolt 214 may be used to lock pin clamp 140B to sphere 210 by tightening sphere locking bolt 214 into contact with sphere 210 through a threaded hole in pin clamp 140B.

Figures 8A and 8B are elevation views of another embodiment of a coupling between a second member and a pin clamp according to this invention. Figures 8A and 8B illustrate a compressing, bifurcated second member 110C designed to have its bifurcated appendages pulled together by a compression bolt 220 or a similar connector.

5      The appendages may be pulled into spacers 222 that bear on sphere 224 and lock relative movement between bridging pin clamp 140C and compressing, bifurcated second member 110C. Bolts 226 may be used to attach and lock the bridging pin clamp 140C onto lower bone pins. Sphere 224 and spacers 222 include cutouts 228 to enable rotation of the bridging pin clamp 140C about the axis perpendicular to the plane in which Figure 8A is drawn. Ball lock nut 230 may also be used.

10

Figure 9 is a cross-sectional elevation view of another embodiment of a coupling between a second member and a pin clamp according to this invention. Figure 9 shows a sphere-tipped second member 110D coupled to a nested pin clamp 140D. The nested pin clamp 140D may be bolted to the end of a connector 240 that allows multi-axis rotation between nested pin clamp 140D and sphere-tipped second member 110D. As shown, connector 240 is held in place in sphere-tipped second member 110D by a retaining cap 242 and a spring 244. Connector 240 may therefore rotate about three axes within a useful range. Nested pin clamp 140D fits over the threaded end of connector 240 and is retained by a nut 246. When nut 246 is tightened, the cooperating spherical surfaces of nested pin clamp 140D and sphere-tipped second member 110D are locked against one another. Bolts 248 may be tightened to compress the top and bottom portions of pin clamp 140D and thereby attach lower bone pins 142 and 144.

Figure 10 is a cross-sectional elevation view of another embodiment of a coupling between a second member and a pin clamp according to this invention. Figure 10 shows a

sphere-indented second member 110E engaging a sphere-tipped pin clamp 140E including hollowed sphere 250. Tightening a wedge nut 252 on a wedge bolt 254 causes a ramp 256 to force a lock ball 258 to be pulled up to force the spherical surfaces of the sphere-shaped tip of the pin clamp and the cooperating surfaces of sphere-indented second member 110E to lock against one another. Ramp 256 may be an incline resulting from a 5 conically shaped bolt at any rotational orientation or may be inclined on only the operative side that bears against the shaft of lock ball 258.

Figure 11 is a cross-sectional elevation view of another embodiment of a coupling between a second member and a pin clamp according to this invention. Figure 11 shows a 10 stacked washer second member 110F engaging a stacked washer pin clamp 140F. Out of the plane of Figure 11, stem stacked washers 260 and 262 are fixed to and a part of the stacked washer second member 110F. Stem stacked washers 260 and 262 and the spherical surface of stacked washer second member 110F nest among the block stacked washers 264 and 266. Consequently, stacked washer second member 110F is able to 15 move about three axes relative to the stacked washer pin clamp 140F. When washer-locking bolt 268 is tightened, washers 260, 262, 264, and 266, and the spherical surface of the stacked washer second member 110F are pressed together and friction on the various surfaces locks movement of the second member and pin clamp.

Figure 12 is a perspective view of another embodiment of a pin clamp according to 20 the present invention. Unitary body pin clamp 140G may be coupled to either a bifurcated second member (e.g., see Figure 5), or a unitary second member (e.g., see Figure 4). Additionally, unitary body pin clamp 140G may be asymmetrical as depicted, or symmetrical with a pin hole 270 configuration similar to that of pin block 140, as shown in Figure 3. Clamps 272 may be urged by clamp bolts 274 against bone pins in pin

holes 270 to attach bone pins to unitary body pin clamp 140G. Pin clamp 140G includes a hole 276 for accepting an axle such as axle 112 shown in Figure 3. A lock bolt 278 may be used to engage an axle and lock pin clamp 140G relative to the axle. Each of bolts 274 and 278 is held in pin clamp 140G by a bolt spring 280 and a block retainer 282.

5       Figure 13 is a perspective view of an embodiment of a second member according to the present invention configured to create an angular bias from normal between the longitudinal axis of the second member and a pin clamp. Figure 13 shows a biased second member 110H that is biased at its distal end 290 from the member's longitudinal axis by approximately 26 degrees. This bias is useful because it approximates the typical 10 bias from horizontal defined by a line between the locations in the talus and calcaneus where bone pins are typically placed. Therefore, by biasing second member 110H, the fullest range of rotational adjustment in either direction is left for an attached pin clamp. Such a bias makes the need for a notch, such as notch 128 shown in Figure 3, to provide for additional rotational freedom of the pin clamp less necessary. Figure 14 is a 15 perspective view of an embodiment of an apparatus according to the present invention configured to create an angular bias from normal between the longitudinal axis of the external fixation apparatus and a pin clamp of the apparatus. Biased second member 110H may be used with a bifurcated or unitary appendage extending from a second member to a pin clamp. The bias may be advantageous if different than 26 degrees. For 20 example, biases in the range of 1 degree to 60 degrees may be useful in certain exemplary embodiments according to the present invention.

Figure 15-18 show another embodiment of an external fixation apparatus according to the present invention. Figure 15 shows an embodiment of a pin clamp according to this invention over the ankle of a patient with two drill sleeves inserted in the

pin clamp. Figure 16 shows an elevation view of an embodiment of an external fixation apparatus according to this invention, including the pin clamp of Figure 15 and a second member. Figure 17 shows an exploded cross-sectional elevation view of the embodiment of the external fixation apparatus shown in Figure 16. Figure 18 shows an exploded 5 elevation view of the pin clamp of Figures 15-17.

Referring now to Figures 15-18 and more specifically to Figures 16-18, this embodiment of an external fixation apparatus provides for releasable engagement of a second member 310 and a pin clamp 340. Pin clamp 340 may be pivoted about the second member 310 and used interchangeably from either the left or the right side of the 10 leg of a patient. Second member 310 may be of a number of configurations, such as unilateral, bar connector, handle, or ring connector. As shown in Figures 16 and 17, second member 310 includes a unitary stem. Pin clamp 340 and second member 310 are designed such that pin clamp 340 can easily snap onto and be removed from second member 310 using a locator pin 360 in pin clamp 340 as further described below.

15 Second member 310 includes ends 316 and 318. At end 316 is a ball joint for connection with a first member (not shown) such as first member 102 described above in conjunction with Figure 1. Second member 310 may be similar to second member 110 in that second member 310 may include a mechanism by which ends 316 and 318 of second member 310 may translate transversely relative to the longitudinal axis of second member 20 310 in one or more dimensions. For example, second member 310 may include a carriage 130 with worm gears 132A and 132B, threaded holes 134A and 134B, and keybolts 136 and 138 to provide for translation of ends 316 and 318 relative to the longitudinal axis of the second member as described above in conjunction with second member 110.

At end 318 is a single prong that comprises a unitary stem. A shaft 320 extends from end 318, as shown in Figure 17, transverse to the longitudinal axis of second member 310. Shaft 320 includes at least one radial groove 322. Shaft 320 may include an alignment hole 314 through which a pin or wire may be placed into the bone. Such 5 alignment may be useful to approximate a desired center of rotation of pin clamp 340. For example, a wire may be placed through alignment hole 314 and into the talus to approximate the pivot axis of a patient's ankle.

Pin clamp 340 includes a first jaw 346 and a second jaw 348. First jaw 346 has a hole 350 into which shaft 320 of second member 310 may be inserted. Once shaft 320 is 10 inserted within hole 350, first jaw 346 may rotate about shaft 320, and thus, when the first and second jaws are coupled together, pin clamp 340 may rotate about shaft 320. First jaw 346 and second jaw 348 are held together by clamp bolts 352. Clamp bolts 352 may serve to both hold the jaws together and to attach and clamp bone pins (not shown) to a bone segment (e.g., see Figure 1). Bone pins may be clamped in any of the three openings 15 defined by the six depressions 354, as shown in Figures 16 and 18. Clamp bolts 352 may be urged by biasing elements, such as springs 356, such that first jaw 346 and second jaw 348 tend to push together to stay loosely secured to bone pins prior to tightening clamp bolts 352. A lock bolt 358 may be provided to lock the rotation between second member 310 and pin clamp 340. Lock bolt 358 passes through holes in second jaw 348 and first 20 jaw 346 such that by sufficiently tightening lock bolt 358, the distal end of lock bolt 358 interferes with shaft 322 of second member 310 and locks rotation between second member 310 and pin clamp 340. As shown in Figure 18, the threaded hole with which lock bolt 358 engages is in first jaw 346.

First jaw 346 includes a stepped hole 362 that retains a biasing element, such as a spring 364, and locator pin 360. When shaft 320 of second member 310 is fully inserted within hole 350 of pin clamp 340, radial groove 322 receives locator pin 360, engaging second member 310 and first jaw 346 of pin clamp 340 while still allowing rotation of pin 5 clamp 340 about shaft 320. To release engagement between second member 310 and pin clamp 340, locator pin 360 is pulled and shaft 320 of second member 310 may easily be removed from hole 350 of first jaw 346.

Figure 19 shows another exemplary embodiment of a pin clamp with a locator pin according to this invention. Pin clamp 340B is the same as pin clamp 340 shown in 10 Figures 15-18 except that the location and configuration of the locator pin assembly has changed. As shown in Figure 19, locator pin 360B is a pushbutton release mechanism rather than a pull release mechanism. Locator pin 360B and spring 364 are received within a stepped hole 366 in first jaw 346. The proximal tip of locator pin 360B is “pinned” to a button 368 in first jaw 346 in such a way that if you push button 368, 15 locator pin 360B is translated up and out of a mating groove in a hinge shaft (not shown, but an example is shaft 320 with radial groove 322 shown in Figures 16 and 17) allowing removal of pin clamp 340 from a device with which it was engaged. Spring 364 biases locator pin 360B toward the center of hole 366. As pin clamp 340 is being engaged with shaft 320 with radial groove 322, locator pin 360B is forced up, compressing spring 364. 20 The tip of locator pin 360B slides along shaft 320 and then snaps into groove 322 on shaft 320, engaging shaft 320 and pin clamp 340. Pushing button 368 allows for shaft 320 and pin clamp 340 to separated from one another.

The embodiments shown and described in Figures 15-19 provide for quick and easy reconfiguration of a pin clamp for use with either left or right limb applications,

eliminating the need for pin clamps specific to each limb. Additionally, these embodiments allow for quick attachment and removal of second members or stems and pin clamps of external fixation systems. Furthermore, a modular design is provided whereby a pin clamp or second member according to these embodiments may be provided  
5 separately from each other and each may be connected with other components, elements, or devices of a fixation system that are similarly designed. For example, the handle assembly shown in Figure 20 may engage a pin clamp, such as pin clamp 340 or 340B, to form a drill guide for use by a surgeon. A shaft 384 with a radial groove 386 extends from one end of a handle 382, as shown in Figure 20. Shaft 384 may be inserted into a  
10 hole of a pin clamp and the locator pin of the pin clamp is received within radial groove 386 to engage the handle assembly and the pin clamp. Shaft 384 may also include a key 388 that may engage an opening with a corresponding shape in a pin clamp to assist in aligning the pin clamp with the long axis of handle 382. The engaged handle assembly and pin clamp act as a drill guide, with openings in the pin clamp being able to receive a  
15 drill, drill bit, depth gauge, or tissue sleeve used to assist a surgeon in placing a pin, wire, or similar fixation element into a patient's bone.

In another example, Figure 21 shows a capture member 390 for receiving a bar 392 of an external fixation system coupled to a shaft 394 with a radial groove 396, shaft 394 being designed for engagement with an embodiment of a pin clamp, such as pin  
20 clamp 340 or 340B, according to this invention. Capture members, such as capture member 390, for use in external fixation systems are described in detail in U.S. Application No. 10/067,052, filed February 4, 2002, entitled "External Fixation System," and International Application No. PCT/US03/02712, filed January 30, 2003, entitled "External Fixation System," the entire contents of each of which are hereby incorporated

by reference. For example, as shown in Figure 21, an end 398 of shaft 394 may include a generally spherical planetary member having inner and outer surfaces and an aperture adapted to receive a connector, while capture member 390 includes a cooperating surface adapted to receive and correspond generally in shape with the outer surface of the 5 planetary member and an aperture adapted to receive a connector. It should be understood that end 398 of shaft 394 may be formed to mate with capture member 390 according to any of the principles disclosed in the above-referenced, incorporated-by-reference patent applications.

In addition to allowing for a pin clamp to be connected to additional external 10 fixation devices, the embodiments shown in Figures 15-19 also allow a second member according to this invention to be connected to various pin clamp configurations, including future versions of pin clamps or custom pin clamps that may be used in an external fixation apparatus or system. Using the connection mechanism shown in these 15 embodiments provides flexibility not afforded by apparatuses where the second member and at least a portion of the pin clamp are permanently affixed or connected to each other.

Certain exemplary embodiments of this invention include methods of reducing a fracture. Certain exemplary embodiments of apparatuses according to this invention offer the unique ability to precisely adjust and thereby precisely reduce a fracture after all of the 20 bone pins are placed and the apparatus is tightened onto the pins. An exemplary embodiment includes fixing a first member to one side of a bone fracture and fixing a second member to a second side of a bone fracture to approximately accomplish reduction of the fracture. Subsequently, adjustments of the members may be performed to precisely reduce the fracture. For example, referring to Figure 3, keybolts 136 and 138 may be operated to adjust a fracture transversely relative to the longitudinal axis of the second

member. All of the adjustments described in association with certain exemplary embodiments of apparatuses of this invention may also be accomplished under certain exemplary embodiments of methods according to this invention. Such adjustments are advantageous because loosening of the main couplings within the device may cause 5 additional fracture misalignment, leading to still further adjustment requirements.

In an exemplary embodiment according to a method of this invention, fixing a first member to one side of a bone fracture is accomplished. Then, the unique adjustment features of certain exemplary embodiments of this invention may be employed to make an advantageous position and orientation alignment of bone pins to a suitable location on the 10 other side of the fracture. Specifically, the multi-axis adjustments available between the first member and the second member and the multi-axis adjustments between the second member and the pin clamp may be used to make an advantageous position and orientation alignment of bone pins. Further, adjustments of the members may be performed to precisely reduce the fracture as noted above.

15 In another exemplary embodiment, lower bone pins may be placed prior to the placement of any upper bone pins and without the first or second members being attached to the pin clamp. According to one embodiment, lower bone pins may be placed within a pin clamp that releasably connects to a second member and affixed to the bone fracture. Subsequently, a second member may be connected to the pin clamp, followed by a first 20 member with which the upper bone pins are then placed into the bone. This provides added flexibility to a user because the lower bone pins may be placed without the cumbersome first or second members of the external fixation apparatus being attached to the pin clamp, and greater degrees of freedom of the pin clamp are available for optimal placement of the lower bone pins.

The foregoing description of the exemplary embodiments of the invention has been presented only for the purposes of illustration and description and is not intended to be exhaustive or to limit the invention to the precise forms disclosed. Many modifications and variations are possible in light of the above teaching. The embodiments were chosen and described in order to explain the principles of the invention and their practical application so as to enable others skilled in the art to utilize the invention and various embodiments and with various modifications as are suited to the particular use contemplated. Alternative embodiments will become apparent to those skilled in the art to which the present invention pertains without departing from its spirit and scope.

Claims

What is claimed is:

1. An external fixation apparatus for use with a first bone segment that is a tibia and a second bone segment that is a talus, calcaneus, or both, characterized in that the external fixation apparatus comprises:

a first member attachable to the first bone segment through pins;

a second member coupled to the first member through a lockable ball joint,

wherein first and second ends of the second member may be translated transversely relative to a longitudinal axis of the second member; and

a pin clamp coupled to and rotatable about the second member through a lockable joint and attachable to the second bone segment.

2. An external fixation apparatus according to claim 1, further characterized in that translation of the first and second ends of the second member relative to each other is possible in at least two dimensions.

3. An external fixation apparatus according to claims 1 or 2, further characterized in that the second member includes:

a carriage that fits within an upper recess and a lower recess of the second member, the carriage including two threaded holes each receiving a worm gear; and

keybolts that operate each worm gear such that the carriage may be moved transversely to the longitudinal axis in one dimension within the upper recess and transversely to the longitudinal axis in another dimension within the lower recess.

4. An external fixation apparatus according to any of claims 1-3, further characterized in that the second member includes a unitary stem.

5. An external fixation apparatus according to any of claims 1-4, further characterized in that the lockable joint coupling the second member and the pin clamp provides for rotation of the pin clamp about the second member along a single axis.

6. An external fixation apparatus according to claim 5, further characterized in that the lockable joint coupling the second member and the pin clamp includes:

an axle extending through a hole in a first jaw of the pin clamp and a hole in the second end of the second member;

an anti-rotation pin inserted through a portion of the second member and into the axle; and

a first bolt that passes through openings in first and second jaws of the pin clamp such that tightening of the first bolt interferes with the axle and locks rotation of the pin clamp and the second member.

7. An external fixation apparatus according to claim 5, further characterized in that the lockable joint coupling the second member and the pin clamp includes:

a shaft extending transversely from the second end of the second member, the shaft including at least one radial groove;

a hole within a first jaw of the pin clamp that receives the shaft;

a locator pin of the pin clamp that is received within the at least one radial groove to releasably couple the second member and the pin clamp; and

a first bolt that passes through openings in the first jaw and a second jaw of the pin clamp such that tightening of the first bolt interferes with the shaft and locks rotation of the pin clamp and the second member.

8. An external fixation apparatus according to claim 7, further characterized in that the locator pin is pulled to allow for release of the second member from the pin clamp.

9. An external fixation apparatus according to claim 7, further characterized in that the locator pin is pushed to allow for release of the second member from the pin clamp.

10. An external fixation apparatus according to any of claims 6-9, further characterized in that the pin clamp includes second and third bolts that hold the first and second jaws together and attach and clamp pins or wires to the second bone segment.

11. An external fixation apparatus according to claim 10, wherein the pin clamp further comprises openings in the first and second jaws that receive biasing elements and threaded ends of the second and third bolts.

12. An external fixation apparatus according to any of claims 1-4, further characterized in that the lockable joint coupling the second member and the pin clamp provides for multi-axis rotation between the second member and the pin clamp.

13. An external fixation apparatus according to any of claims 1-4, further characterized in that the second member is biased at the second end from the longitudinal axis of the second member up to approximately sixty degrees.

14. An external fixation apparatus according to any of claims 1-4, further characterized in that the pin clamp is symmetrical or asymmetrical.

15. An external fixation system for attaching pins or wires to at least one bone segment, characterized in that the system comprises

an external fixation device; and

a pin clamp comprising:

a first jaw and a second jaw;

biasing elements received within openings in the first and second jaws;

first and second bolts that extend through the openings in the first and second jaws, compressing the biasing elements and holding the first and second jaws together;

a hole in the first jaw that receives a shaft of an external fixation device, the shaft having at least one radial groove;

a locator pin that is received within the at least one radial groove of the shaft to releasably couple the external fixation device and the pin clamp; and

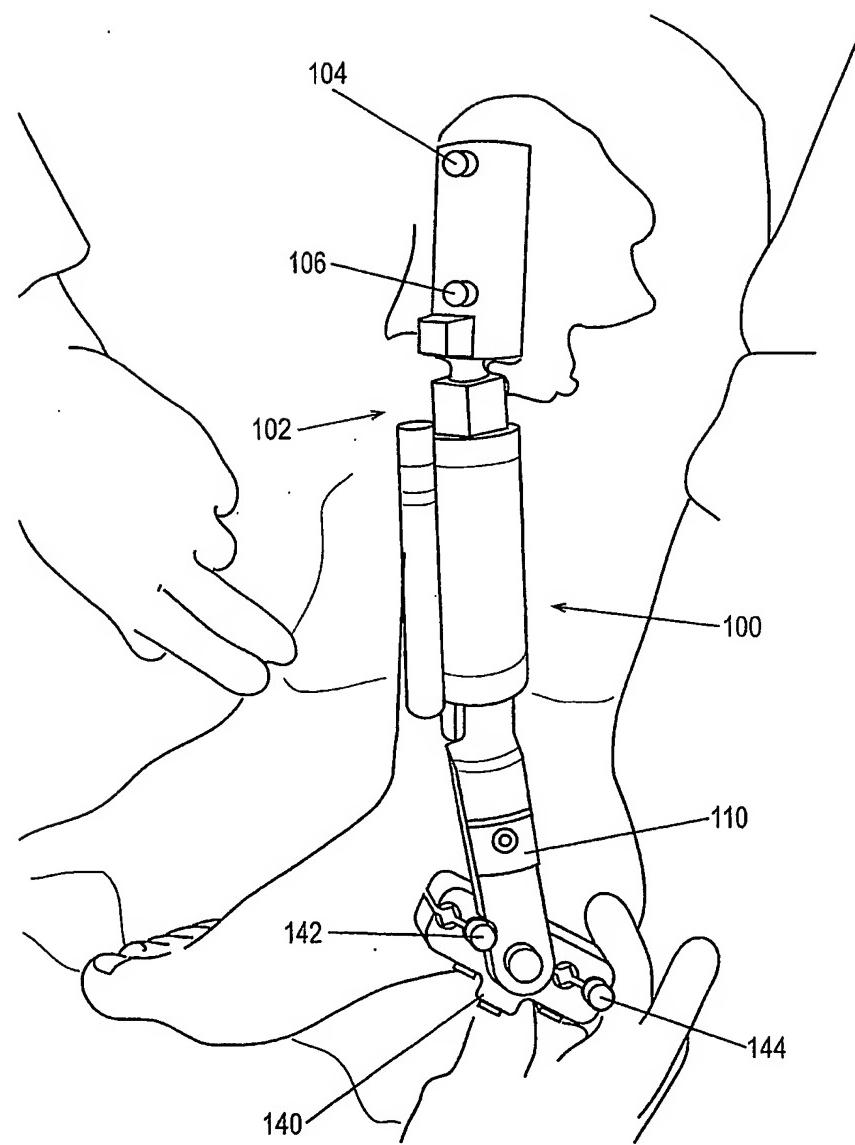
a third bolt that passes through openings in the first and second jaws such that sufficient tightening of the third bolt interferes with the shaft and locks rotation of the pin clamp and the external fixation device.

16. An external fixation system according to claim 15, further characterized in that the external fixation device is a handle such that the pin clamp and handle coupled thereto may be used as a drill guide.

17. An external fixation system according to claim 15, further characterized in that the external fixation device is a fixation component that includes a capture member that receives a pin, bar, or wire.

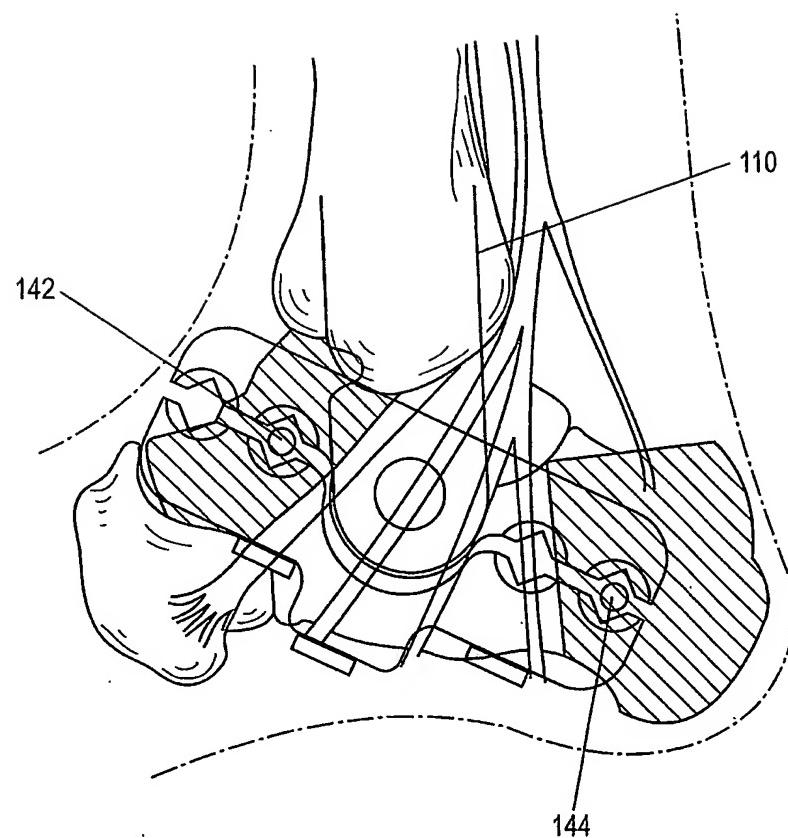
18. An external fixation system according to claim 15, further characterized in that the external fixation device is a second member with first and second ends that may be translated transversely relative to a longitudinal axis of the second member in at least two dimensions.

1/16



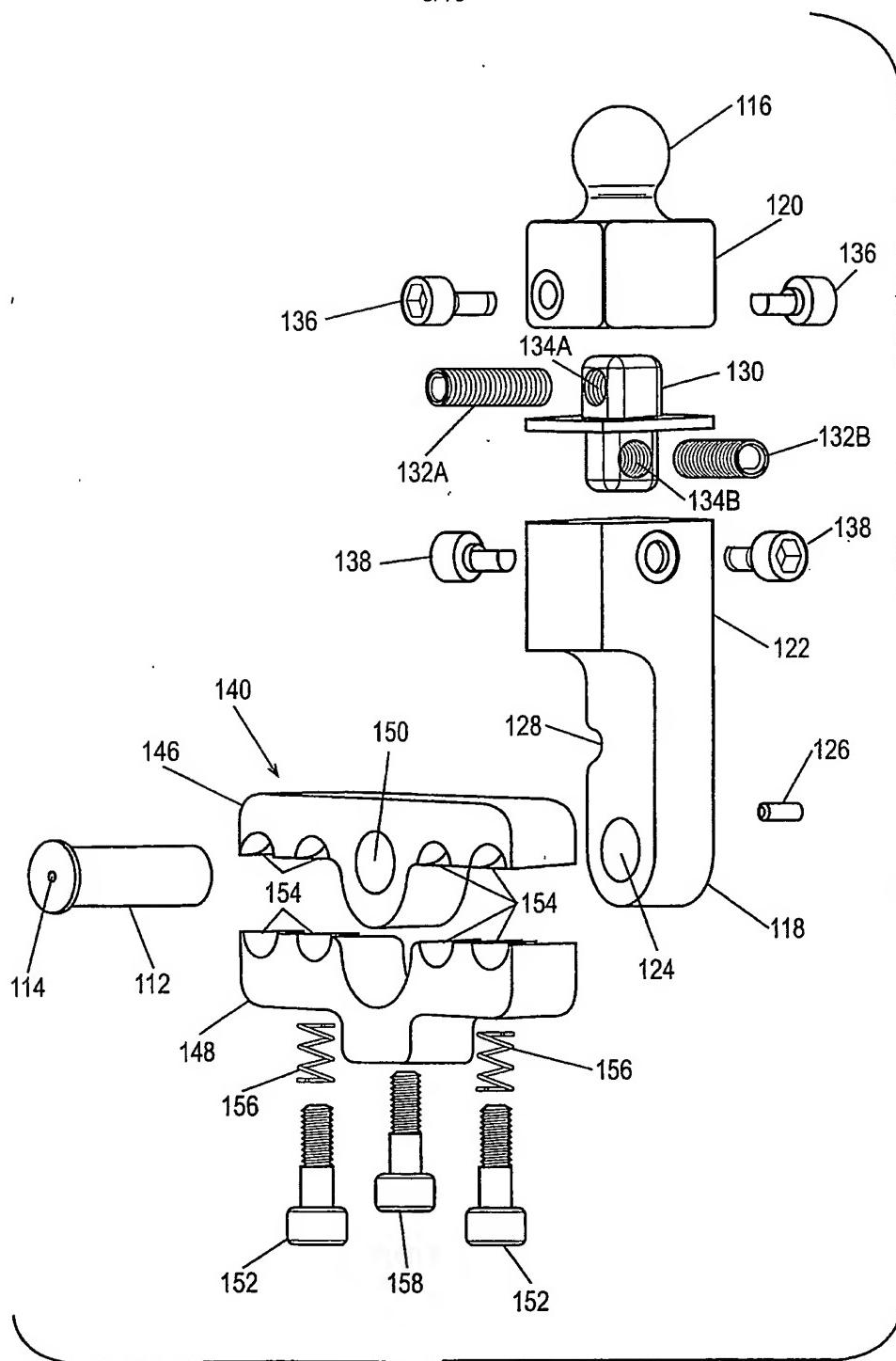
*Fig. 1*

2/16



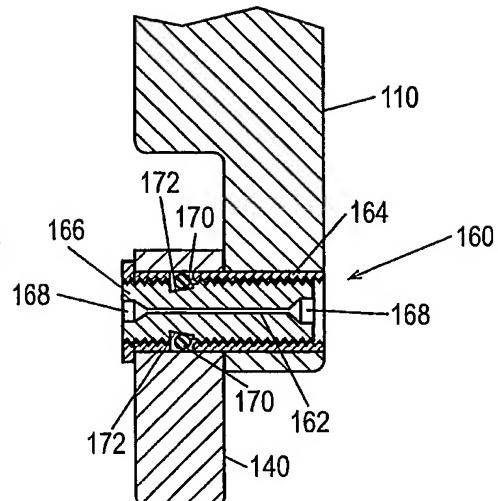
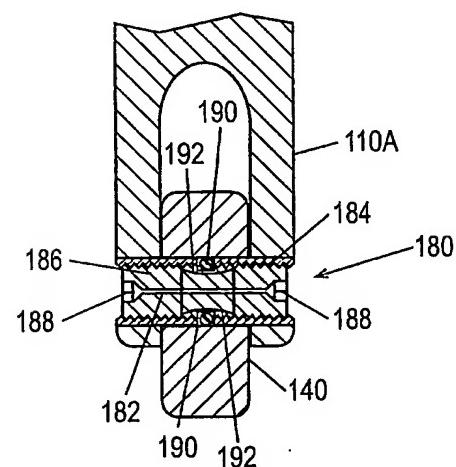
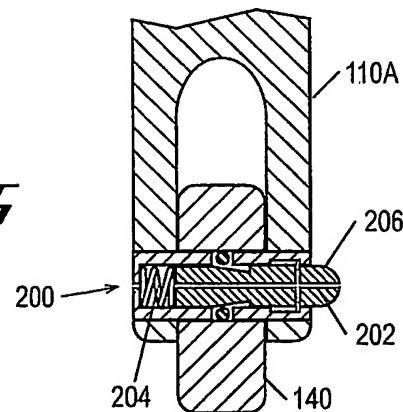
*Fig. 2*

3/16

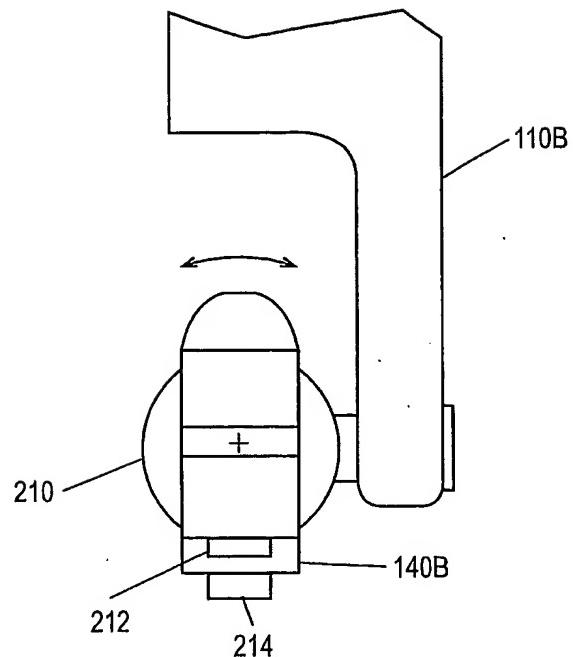
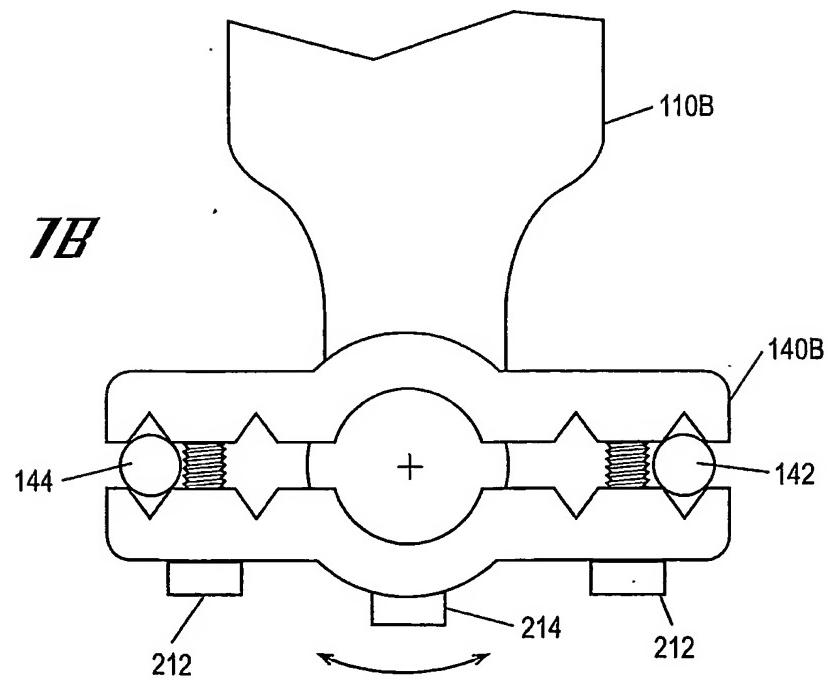


*Fig. 3*

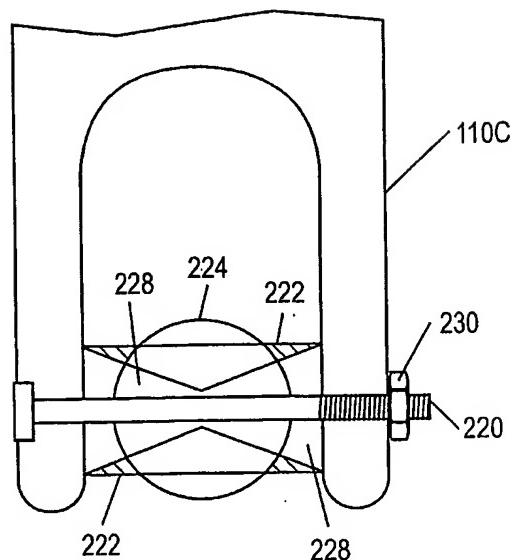
4/16

**Fig. 4****Fig. 5****Fig. 6**

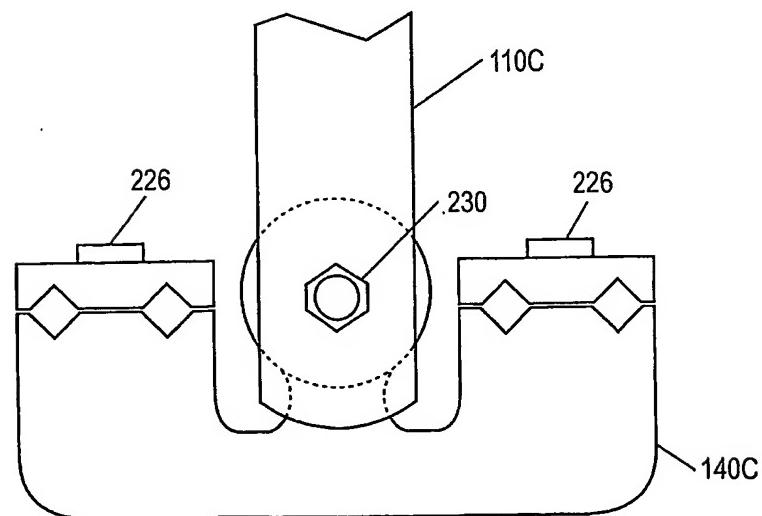
5/16

*Fig. 7A**Fig. 7B*

6/16

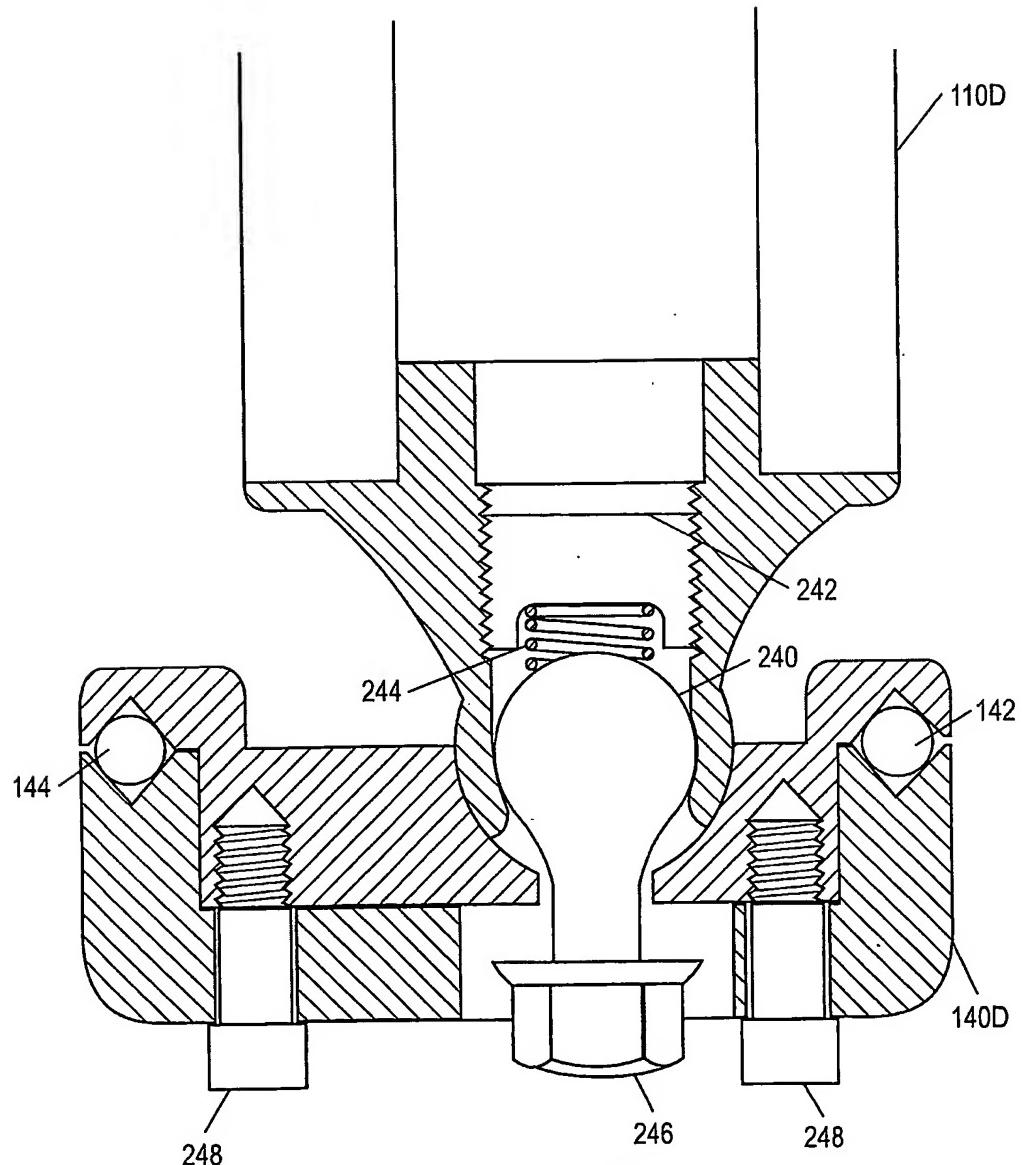


*Fig. 8A*



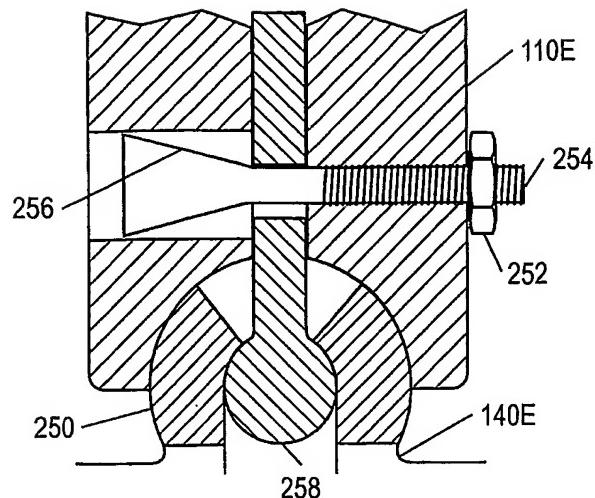
*Fig. 8B*

7/16

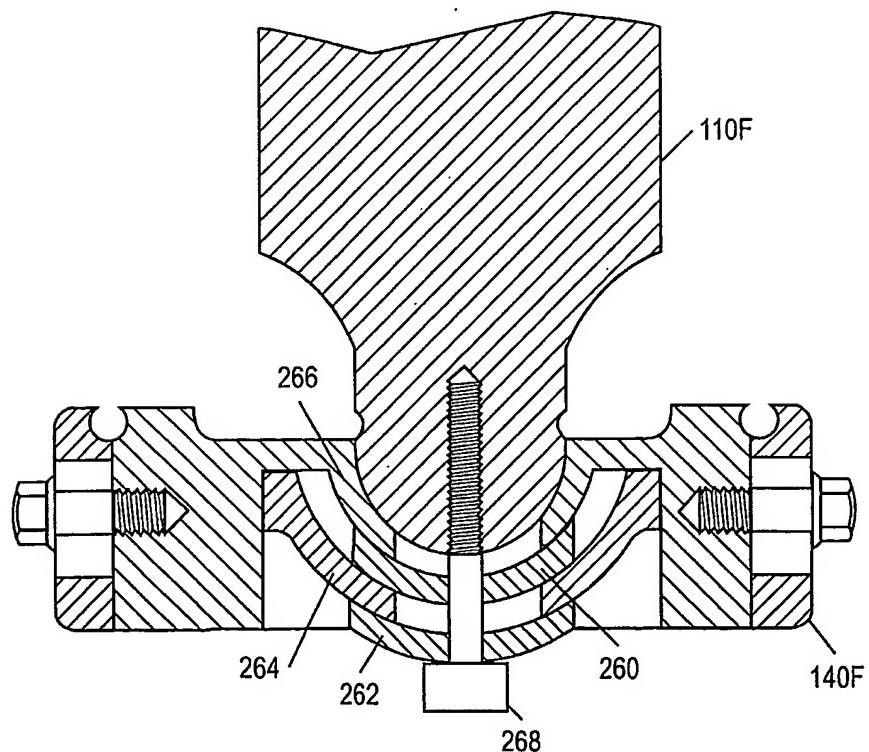


*Fig. 9*

8/16

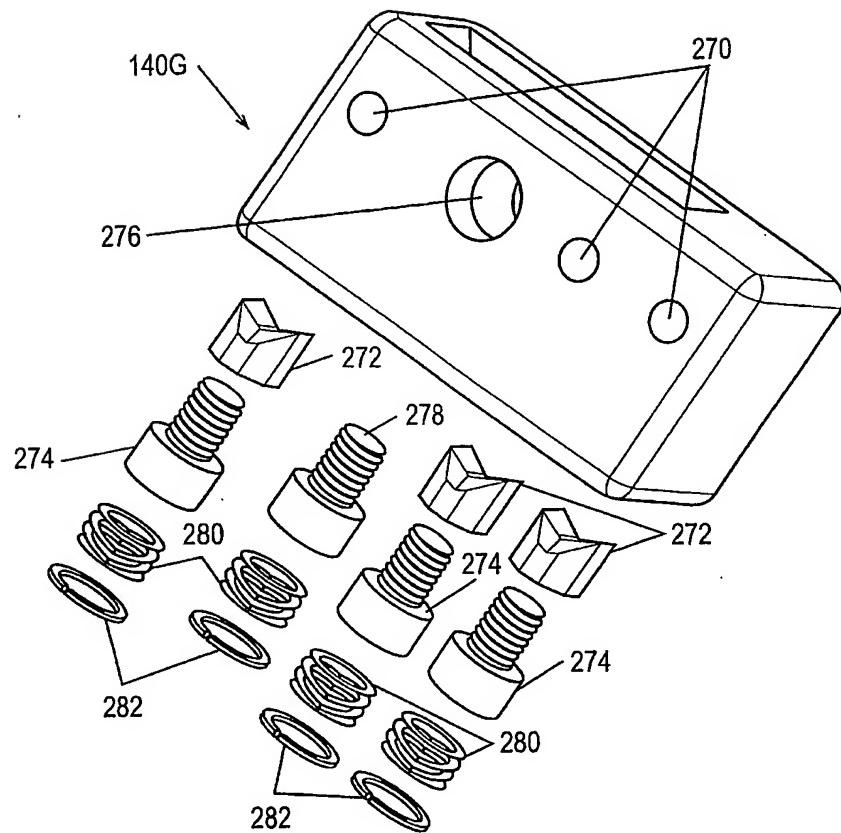


*Fig. II*



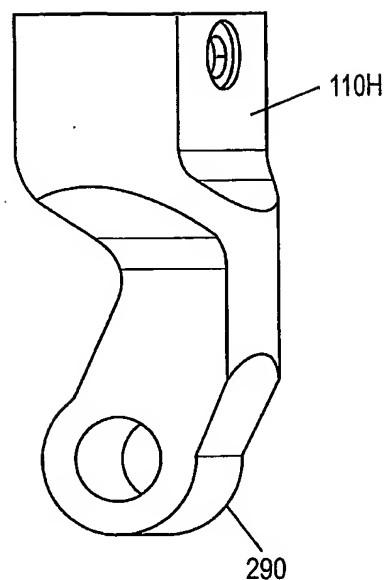
*Fig. II*

9/16

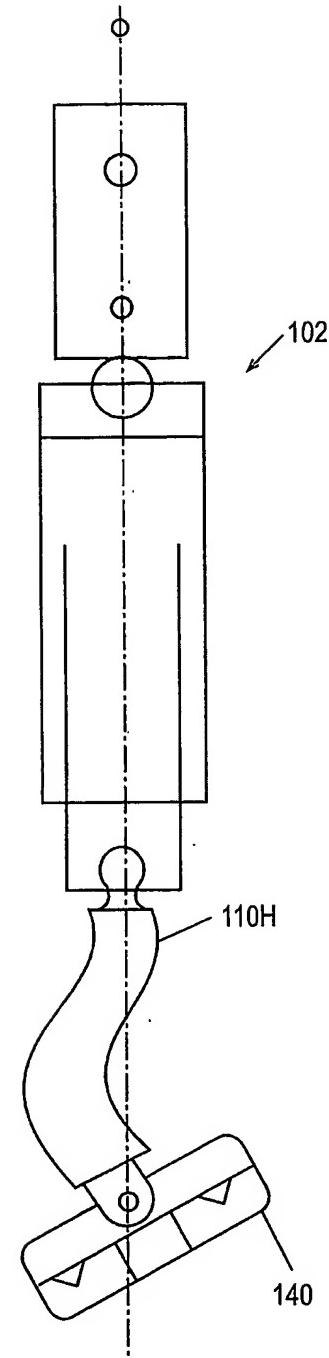


*Fig. 12*

10/16

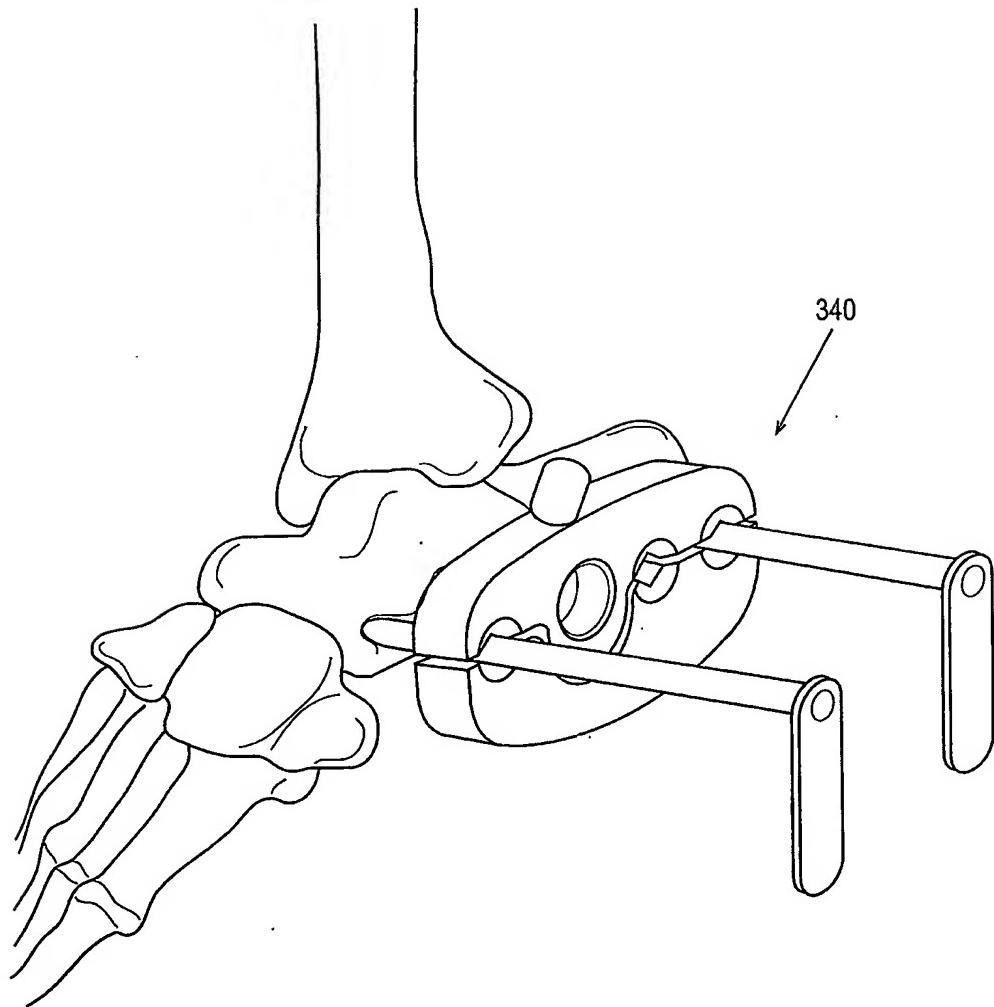


*Fig. 13*



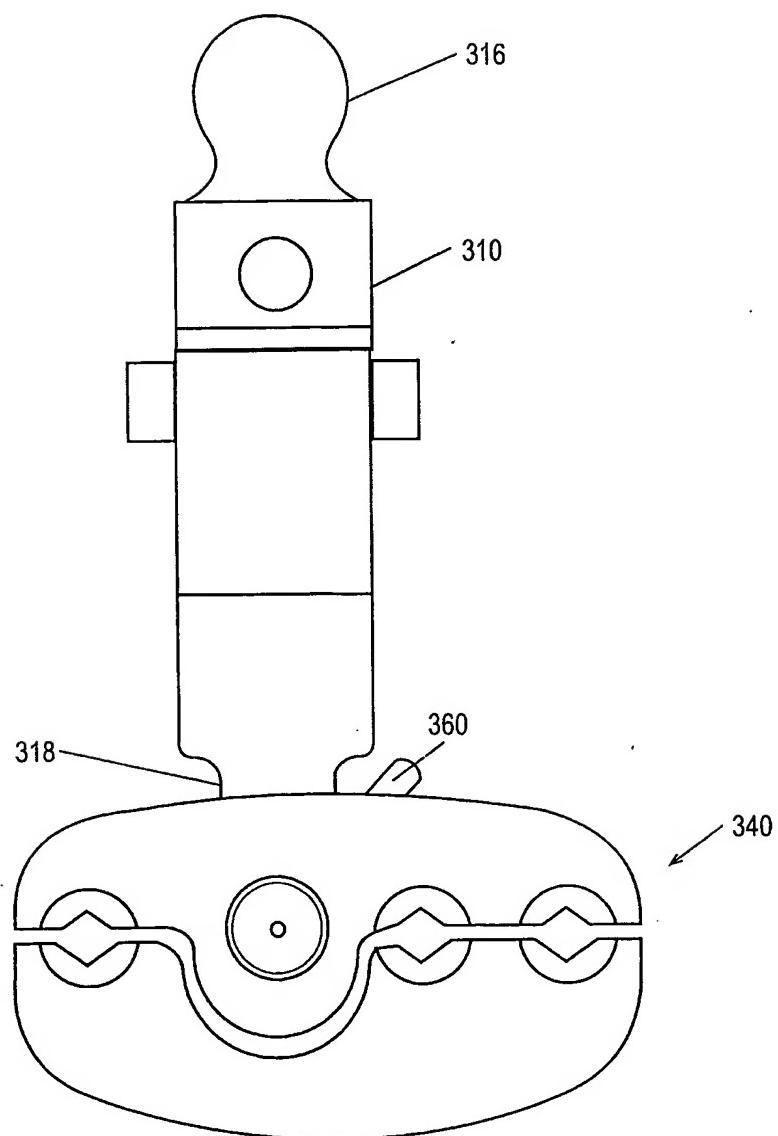
*Fig. 14*

11/16



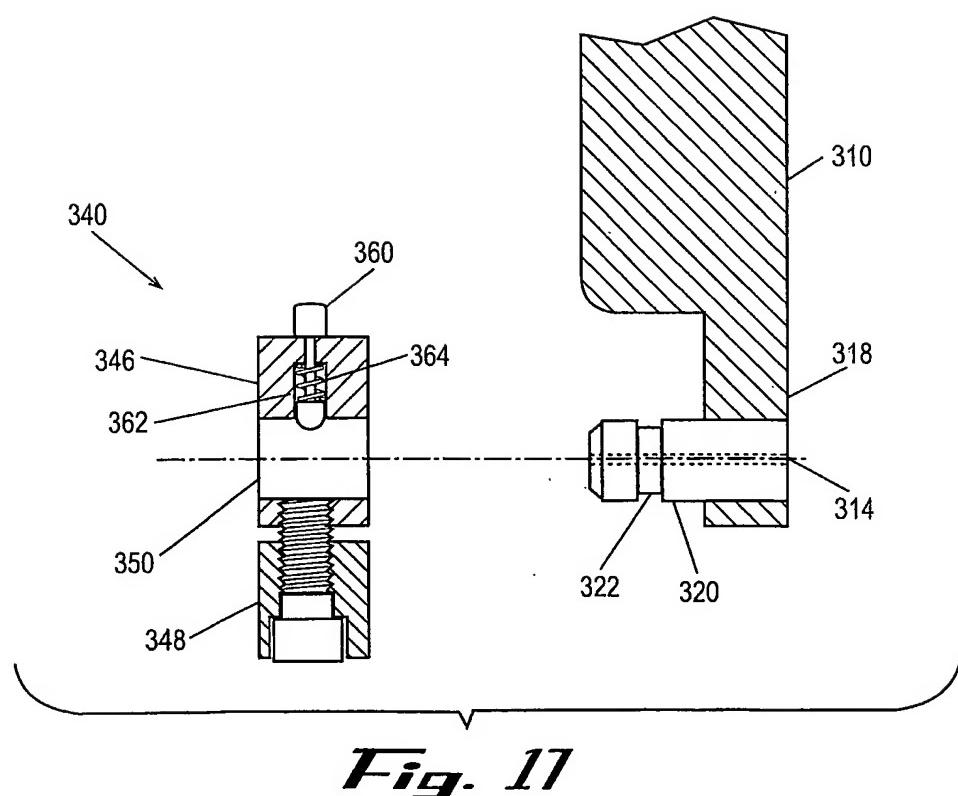
*Fig. 15*

12/16

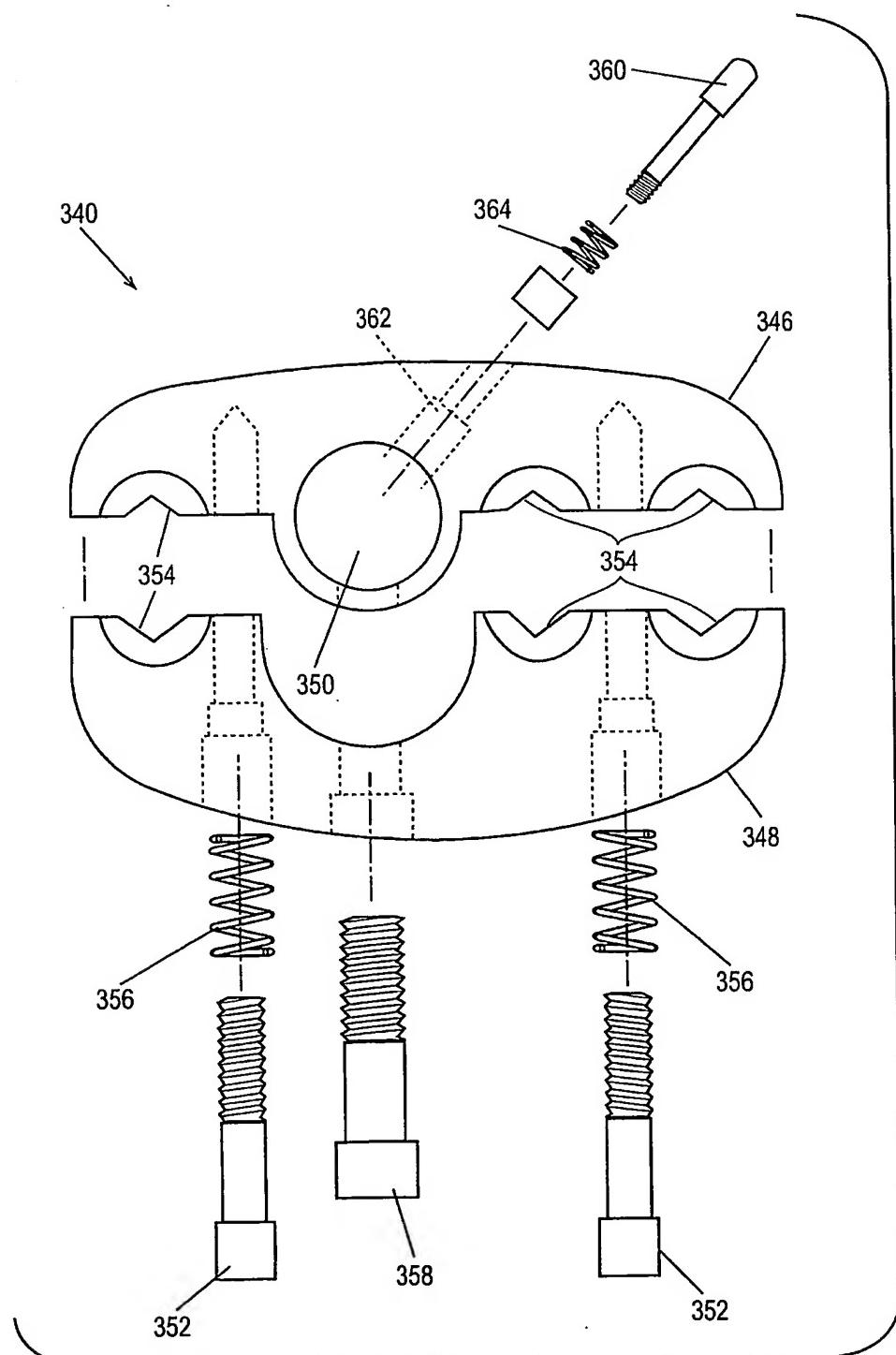


*Fig. 16*

13/16

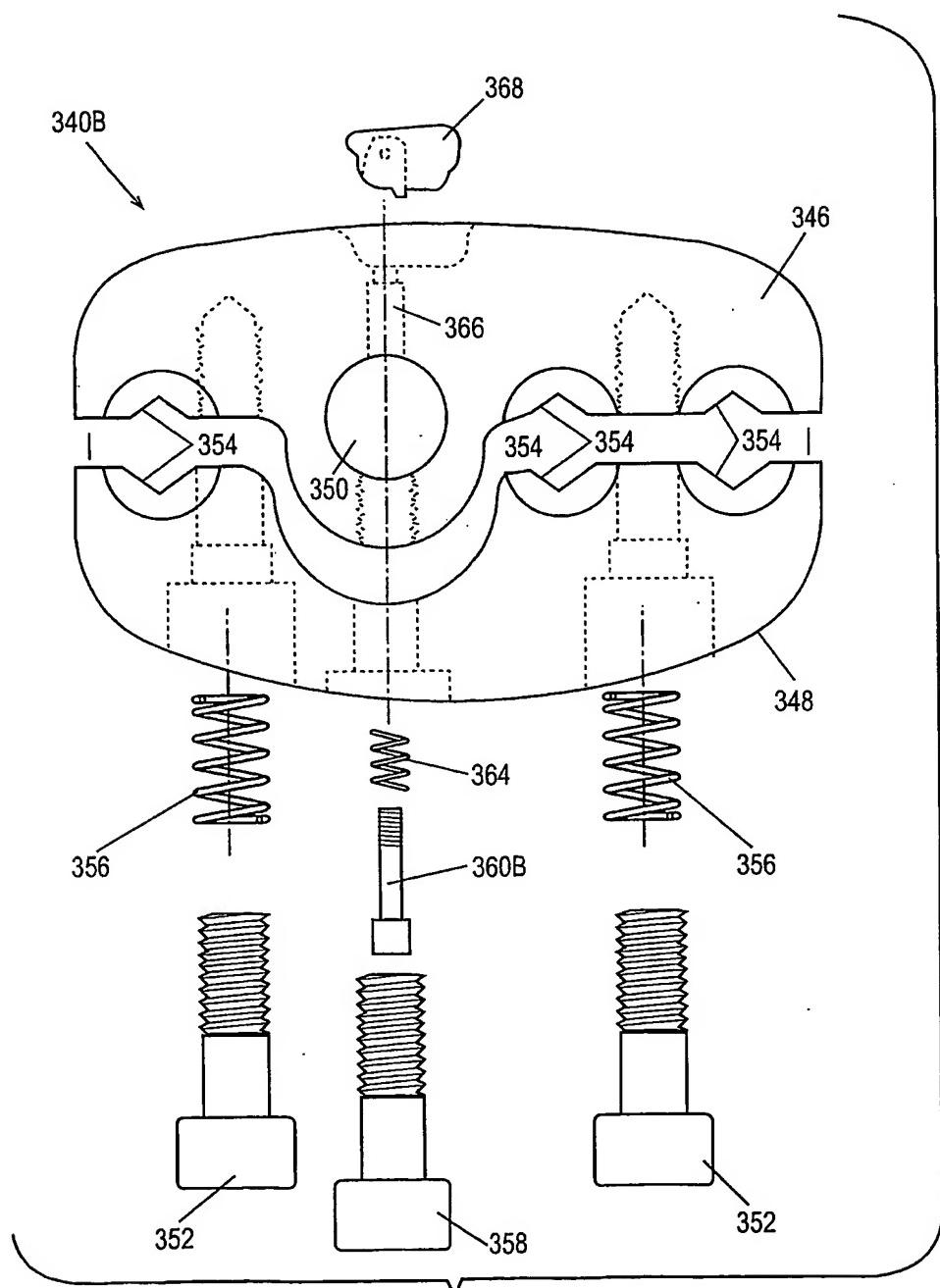


14/16



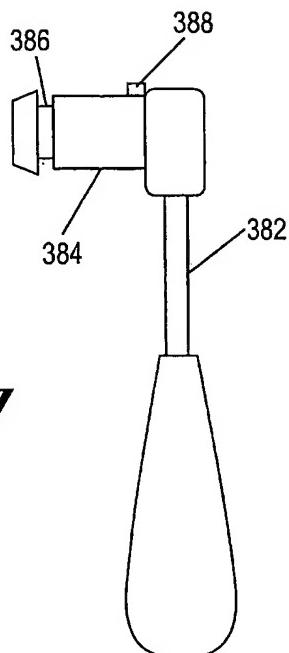
*Fig. 18*

15/16

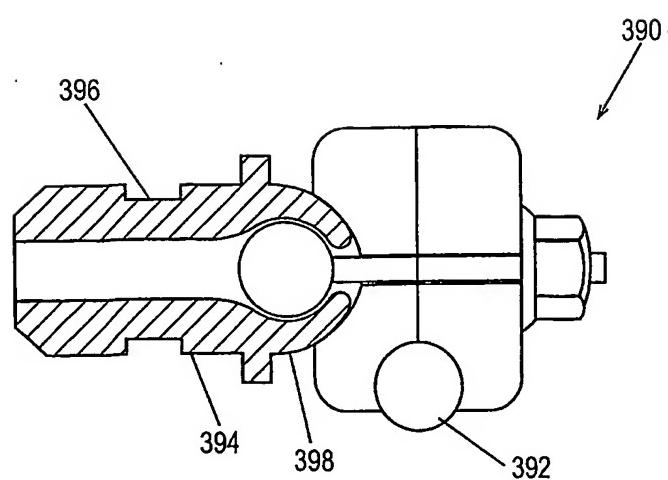


*Fig. 19*

16/16



*Fig. 20*



*Fig. 21*

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 03/39307

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 A61B17/64

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6 010 501 A (WALULIK STEPHEN B ET AL) 4 January 2000 (2000-01-04) abstract; figures 1,3,7A,7B,8-9 column 6, line 19-44 -----	1-5
A	US 5 437 666 A (TEPIC SLOBODAN ET AL) 1 August 1995 (1995-08-01) abstract; figures 1B,3 -----	18
Y	US 5 405 347 A (LEE HARRY E ET AL) 11 April 1995 (1995-04-11) abstract; figures 1,2,8-11 column 2, line 67 -column 3, line 44 column 3, line 47-56 -----	6,10-14
X	-----	1,2,4,14
A	-----	1,3,4, 12,14 18
	-----	-/-

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

20 April 2004

Date of mailing of the international search report

28/04/2004

Name and mailing address of the ISA

European Patent Office, P.B. 5B18 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl.  
Fax: (+31-70) 340-3016

Authorized officer

Macaire, S

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 03/39307

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 88/03395 A (PROMEDA SPA) 19 May 1988 (1988-05-19)	1,2,4, 12,14
A	abstract; figure 1 page 4, line 11 -page 5, line 8 ---	18
A	US 6 461 358 B1 (FACCIOLI GIOVANNI ET AL) 8 October 2002 (2002-10-08)	1,15
Y	abstract; figures 2-2B,8,10-12,18 ---	6,10-14
A	US 5 451 226 A (PFEIL JOACHIM ET AL) 19 September 1995 (1995-09-19) abstract; figures 1-3 column 4, line 6-8 -----	7,15

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-14

External fixation apparatus with a second member comprising two portions and a linking mechanism allowing a translation between the two portions.

2. Claims: 15-18

External fixation apparatus with a pin clamp coupled to an external fixation device through a snap-in connection.

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 03/39307

### Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
  
3.  Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

#### Remark on Protest

- The additional search fees were accompanied by the applicant's protest.  
 No protest accompanied the payment of additional search fees.

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International Application No	
PCT/US 03/39307	

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
US 6010501	A	04-01-2000	AU EP WO	1726799 A 1041936 A1 9930626 A1	05-07-1999 11-10-2000 24-06-1999
US 5437666	A	01-08-1995	CA WO EP JP DE DE JP	2106777 A1 9404087 A1 0610219 A1 7500274 T 69214155 D1 69214155 T2 3380243 B2	25-02-1994 03-03-1994 17-08-1994 12-01-1995 31-10-1996 06-02-1997 24-02-2003
US 5405347	A	11-04-1995	AU AU CA DE EP	683628 B2 5503094 A 2114759 A1 69420435 D1 0611007 A1	20-11-1997 18-08-1994 13-08-1994 14-10-1999 17-08-1994
WO 8803395	A	19-05-1988	IT WO EP JP	1197951 B 8803395 A1 0365521 A1 2502608 T	21-12-1988 19-05-1988 02-05-1990 23-08-1990
US 6461358	B1	08-10-2002	AT AU CA CZ DE DK WO EP HU JP PT	247930 T 1977800 A 2355743 A1 20012080 A3 69910827 D1 1139891 T3 0040163 A1 1139891 A1 0104633 A2 2002534150 T 1139891 T	15-09-2003 24-07-2000 13-07-2000 17-04-2002 02-10-2003 22-12-2003 13-07-2000 10-10-2001 28-03-2002 15-10-2002 31-12-2003
US 5451226	A	19-09-1995	DE AT CA DE WO EP JP	8902691 U1 133847 T 2027856 A1 58909600 D1 9010421 A1 0386308 A1 3504456 T 3057096 B2	19-07-1990 15-02-1996 07-09-1990 21-03-1996 20-09-1990 12-09-1990 03-10-1991 26-06-2000